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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/988,009	11/16/2001	James De Perna	62225-159	7685
20277	7590	06/30/2006	EXAMINER	
MCDERMOTT WILL & EMERY LLP			ZIA, SYED	
600 13TH STREET, N.W.			ART UNIT	
WASHINGTON, DC 20005-3096			PAPER NUMBER	

2131

DATE MAILED: 06/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Interview Summary

Application No.

09/988,009

Applicant(s)

PERNA ET AL.

Examiner

Syed Zia

Art Unit

2131

All participants (applicant, applicant's representative, PTO personnel):

(1) Syed Zia. (3) \_\_\_\_\_.

(2) Nicholas Chen (56,665) (4) \_\_\_\_\_.

Date of Interview: 06/22/06 1:00 PM EST

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1.

Identification of prior art discussed: \_\_\_\_\_.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: \_\_\_\_\_.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Applicant requested this interview to discuss last office action  
Applicant described the scenarios where this invention has intended  
use, such as Broker clearing house for stock exchange activity

Examiner specifically pointed applicant's previous response <sup>and remarks</sup> where  
applicant mentioned that Examiner agreed to that Claim 1 is  
not taught by the ~~key~~ prior art. Examiner ~~has~~ specifically mention  
that he disagreed the interpretation of previous interview.

However, ~~Examiner~~ in this interview examiner pointed out that reason to  
keep the previous rejection.

Applicant discussed the proposed amendment to <sup>such as, in claim 1,</sup> ~~such as~~ single applicant  
having plurality of subfunctions of application to overcome the prior art  
Examiner Note: You must sign this form unless it is an  
Attachment to a signed Office action.

Examiner's signature, if required

rejection. Examiner agreed to ~~readdress~~ his rejection  
in the light of proposed amendment.  
No agreement was reached about the allowance at this time  
Syed Zia  
06/22/06